

Chapter I: Basic definitions

As noted in the Introduction, **graph theory** is a branch of mathematics that deals with problems using specific types of diagrams called graphs. Graph theory has many applications across a wide range of fields, including operations research, physics, chemistry, computer science, and other scientific disciplines. In this chapter, we introduce some fundamental concepts of graph theory and provide a variety of examples. We also present several elementary results.

1. "Intuitive" definition of a graph:

Intuitively speaking, a graph is a diagram consisting of a finite number of points, called *vertices*, and a finite number of arrows, called *arcs*, that connect certain pairs of these points.

Example:

In the graph below:

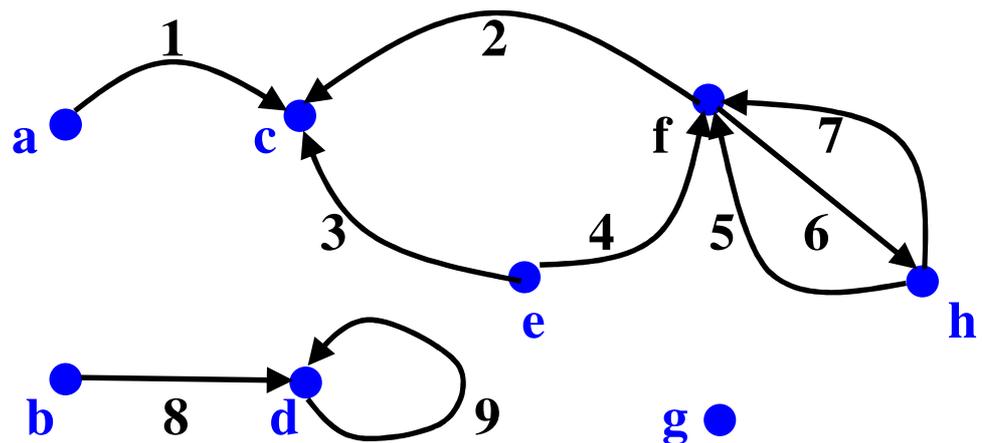


Figure 1: An example of a graph.

- $X = \{ a, b, c, d, e, f, g, h \}$ is the set of vertices, and
- $U = \{ 1, 2, 3, 4, 5, 6, 7, 8, 9 \}$ is the set of arcs.

2. Mathematical definition of a graph:

Formally, a graph G is defined to be a pair (X, U) , where X is a finite set of nodes called *vertices*, and U is a set of pairs of vertices (x, y) of elements in X called *arcs*.

Remark:

The position of the vertices and the shape of the arcs in a graph drawing are not important; what matters is how the vertices are joined by arcs.

The two graphs shown in **Figures 1** and **2** have the same structure. We say that they are *isomorphic*, as defined below:

Two graphs $G_1=(X_1, U_1)$ and $G_2=(X_2, U_2)$ are said to be *isomorphic* if there exists a bijection f from X_1 to X_2 such that, for every pair (x, y) of elements in X_1 , (x, y) is an arc in U_1 if and only, if $(f(x), f(y))$ is an arc in U_2 .

For example: (a, c) is an arc of G_1 , and $(f(a), f(c))=(b, c)$ is an arc of G_2 . (b, d) is an arc of G_1 , and $(f(b), f(d))=(g, h)$ is an arc of G_2 , and so on.

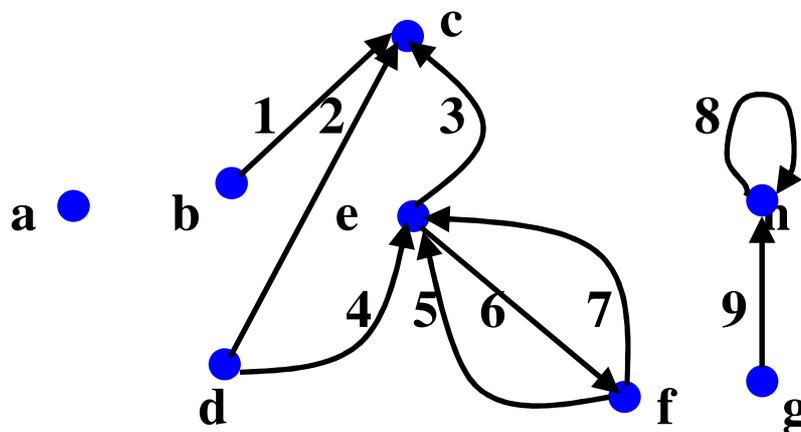


Figure 2: Graph G_2 isomorphic to the graph G_1 in the previous figure.

Notation:

In **Figure 2**, arc **6** goes from vertex **e** to vertex **f**. It is said to be in the form (e, f) , and by convention, we write $\mathbf{6} = (e, f)$. Note that while the form

(e , f) is enough to uniquely identify arc 6, the form (f , e) is not enough to uniquely identify arc 5, because 7 = (f , e) as well.

3. Order, orientation and multiplicity:

3.1. Order and Size of a Graph:

The *order* of a graph G , denoted by $|G|$, is the number of its vertices, and its *size*, denoted by $\|G\|$, is the number of its arcs.

Example:

The graph in the **Figure 1**, "an example of a graph", has an order of **8** and size of **9**, that is, $|G| = 8$ and $\|G\| = 9$.

3.2. Orientation:

In the graph $G = (X , U)$ shown in **Figure 1** or **2**, each arc $u = (x , y)$ represents a directed line (an arrow) from vertex x to vertex y . A graph of this type is called a *directed graph*. If the connection between x and y is represented by a line without any direction it is called an *edge*. The graph is then called an *undirected graph*.

In what follows, the term *graph* will refer to a *directed graph*, while an *undirected graph* will be referred to as a multigraph.

Example:

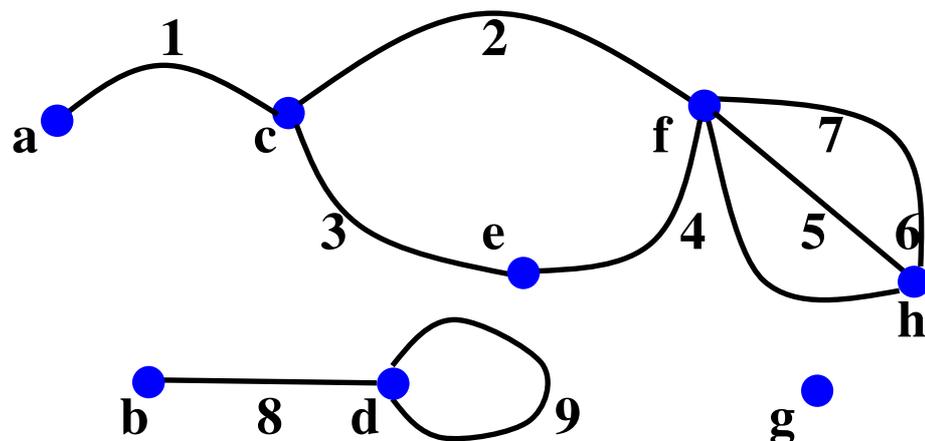


Figure 3: Multigraph associated with the graph in Figure 1.

Notation:

In a multigraph $G = (X, U)$, the notation $\{x, y\}$, where $x \in X$ and $y \in X$, is typically used to represent an *edge*.

For example, in the multigraph shown in **Figure 3**, the edge **1** is denoted by $\{a, c\}$, that is, $1 = \{a, c\}$.

Remark:

As noted by C. Berge [Berge, 1973], it may appear convenient to distinguish between two separate theories: one for *directed* graphs and another for *undirected* graphs. However, this distinction is not strictly necessary. In reality, All graphs are directed, but sometimes the direction need not be specified. To apply a directed concept in a undirected graph we will actually consider the associated directed graph obtained by replacing each edge with two arcs in opposite directions. Conversely, an undirected concept can be applied to a directed graph by ignoring the orientation of the arcs.

3.3. Multiplicity:

Loop and Endpoints:

In a graph, an arc of the form (x, x) is called a *loop*. For an arc (x, y) , x and y are called the *endpoints* of the arc. Specifically, vertex x is its *initial endpoint*, and vertex y is its *terminal endpoint*.

Example:

In the graph below: arc **9** = (d, d) is a loop. Vertex **b** is the initial endpoint of arc **8** and vertex **d** is its terminal endpoint.

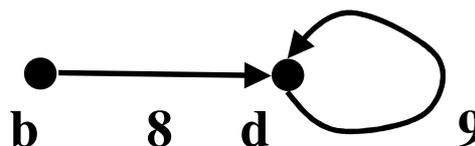


Figure 4: An example of a loop and endpoints.

Multiplicity of a pair x, y:

The multiplicity of a pair x, y is the number of arcs, having x as the initial endpoint and y as the final endpoint.

Notation:

The multiplicity of a pair x, y is denoted as $m_G^+(x, y)$.

We define $m_G^-(x, y) = m_G^+(y, x)$ and $m_G(x, y) = m_G^+(x, y) + m_G^-(x, y)$.

If $x \neq y$, then $m_G(x, y)$ denotes the number of arcs with one endpoint at x and the other at y .

If $x = y$, then $m_G(x, y)$ is equal to twice the number of loops attached to vertex x .

Example:

In the graph shown in **Figure 1**: $m_G^+(h, f) = 2$, $m_G^-(c, f) = 1$, $m_G(d, d) = 2$.

4. Relations between the elements of a graph:

4.1. Relations between vertices:

Neighboring vertices:

In a graph, vertex x is a *successor* of vertex y if there is an arc in the form (y, x) .

Vertex x is a *predecessor* of vertex y if there is an arc in the form (x, y) .

Vertex x is a *neighbor* of vertex y if x is either a successor or a predecessor of y .

The term *adjacent vertices* is also used to refer to neighboring vertices.

Notations:

The set of successors of vertex x is denoted as $\Gamma_G^+(x)$.

The set of predecessors of vertex x is denoted as $\Gamma_G^-(x)$.

The set of neighbors of vertex x is denoted as $\Gamma_G(x) = \Gamma_G^+(x) \cup \Gamma_G^-(x)$.

Example:

In the graph shown in **Figure 1**:

$$\Gamma_G(\mathbf{f}) = \Gamma_G^+(\mathbf{f}) \cup \Gamma_G^-(\mathbf{f}) = \{\mathbf{c}, \mathbf{h}\} \cup \{\mathbf{e}, \mathbf{h}\} = \{\mathbf{c}, \mathbf{e}, \mathbf{h}\}.$$

Isolated vertex:

It is possible that $\Gamma_G(\mathbf{x}) = \emptyset$ (the empty set). If $\Gamma_G(\mathbf{x}) = \emptyset$, \mathbf{x} is called an *isolated vertex*.

Example:

In the graph in the **Figure 1**: \mathbf{g} is an *isolated vertex* since $\Gamma_G(\mathbf{g}) = \emptyset$.

Pendant vertex:

A *pendant vertex* is a vertex that has only one neighbor.

Example:

In the graph shown in **Figure 1**: \mathbf{b} is a pendant vertex since $\Gamma_G(\mathbf{b}) = \{\mathbf{d}\}$.

Degree of a vertex:

In a multigraph, the *degree* of a vertex is the number of edges that have the vertex as an endpoint. If the vertex is connected to a loop, the loop is counted twice. A vertex has degree **0** if it is an isolate vertex, and degree **1** if it is a pendant vertex.

Notation:

The degree of a vertex \mathbf{x} in the graph \mathbf{G} is denoted by $\mathbf{d}_G(\mathbf{x})$.

Example:

In the graph shown in **Figure 3**, an example of a multigraph, the degrees of the vertices are as follows:

\mathbf{x}	\mathbf{a}	\mathbf{b}	\mathbf{c}	\mathbf{d}	\mathbf{e}	\mathbf{f}	\mathbf{g}	\mathbf{h}
$\mathbf{d}_G(\mathbf{x})$	1	1	3	3	2	5	0	3

Vertex \mathbf{g} is an isolated vertex, while vertices \mathbf{a} and \mathbf{b} are both pendant vertices.

In a graph, two types of degrees are associated with each vertex. Let x be a vertex in a graph G : the number of arcs of the form (x, y) , going from x , is denoted by $d_G^+(x)$ and is called the *outer demi-degree* of x . Similarly, the number of arcs of the form (y, x) , going to x is denoted by $d_G^-(x)$ and is called the *inner demi-degree* of x .

The *total degree* of vertex x is given by $d_G(x) = d_G^+(x) + d_G^-(x)$ where each loop is counted twice.

Example:

In the graph shown in **Figure 1**, the degrees of the vertices are as follows:

x	a	b	c	d	e	f	g	h
$d_G^+(x)$	1	1	0	1	2	2	0	2
$d_G^-(x)$	0	0	3	2	0	3	0	1
$d_G(x)$	1	1	3	3	2	5	0	3

Similarly, vertex **g** is an isolated vertex, while vertices **a** and **b** are both pendant vertices.

4.2. Relations between arcs and vertices:

Arc incident to a vertex:

In a graph, if a vertex x is the initial endpoint of an arc u , then u is said to be *incident out of* vertex x . Conversely, if x is the terminal endpoint of an arc u , then u is said to be *incident into* vertex x . In both cases, we say that the arc u is *incident to* vertex x . We also use the term *incoming arc* for incident inward and *outgoing arc* for incident outward. A *pendant arc* is incident to a *pendant vertex*.

Arc incident to a set of vertices:

In a graph $G = (X, U)$, let $A \subset X$. If the initial endpoint of an arc u belongs to A but its terminal endpoint does not, then u is said to be an arc *incident out of the set A*. Conversely, if the final endpoint of an arc u belongs to A

but its initial endpoint does not, then \mathbf{u} is said to be an arc *incident into the set* \mathbf{A} .

Notation:

If \mathbf{u} is incident out of \mathbf{A} , we write: $\mathbf{u} \in \omega_{\mathbf{G}}^{+}(\mathbf{A})$.

If \mathbf{u} is incident into \mathbf{A} , we write: $\mathbf{u} \in \omega_{\mathbf{G}}^{-}(\mathbf{A})$.

The set of arcs incident to the set \mathbf{A} is denoted as $\omega_{\mathbf{G}}(\mathbf{A}) = \omega_{\mathbf{G}}^{+}(\mathbf{A}) \cup \omega_{\mathbf{G}}^{-}(\mathbf{A})$.

Example:

In the graph shown in **Figure 1**, if $\mathbf{A}=\{\mathbf{c},\mathbf{e},\mathbf{f}\}$ then $\omega_{\mathbf{G}}(\mathbf{A}) = \omega_{\mathbf{G}}^{+}(\mathbf{A}) \cup \omega_{\mathbf{G}}^{-}(\mathbf{A}) = \{6\} \cup \{1,5,7\} = \{1,5,6,7\}$.

Adjacent arcs, adjacent edges:

Two arcs (or two edges) are said to be *adjacent* if they have at least one common endpoint.

Example:

In the graph of **Figure 4**, arc **8** and arc **9** are adjacent because **d** is a common vertex.

4.3. Graph qualifiers:

p-Graph:

If, in a graph \mathbf{G} , the number of arcs from a vertex \mathbf{x} to another vertex \mathbf{y} does not exceed a number \mathbf{p} , the graph is called a \mathbf{p} -graph, and \mathbf{p} is referred to as the *multiplicity* of the graph.

Example:

The graph in **Figure 1**, is a 2-graph.

Simple Graph:

A multigraph is *simple* if it contains no loops and no more than one edge between any pair of distinct vertices.

Example:

The following graph is simple.

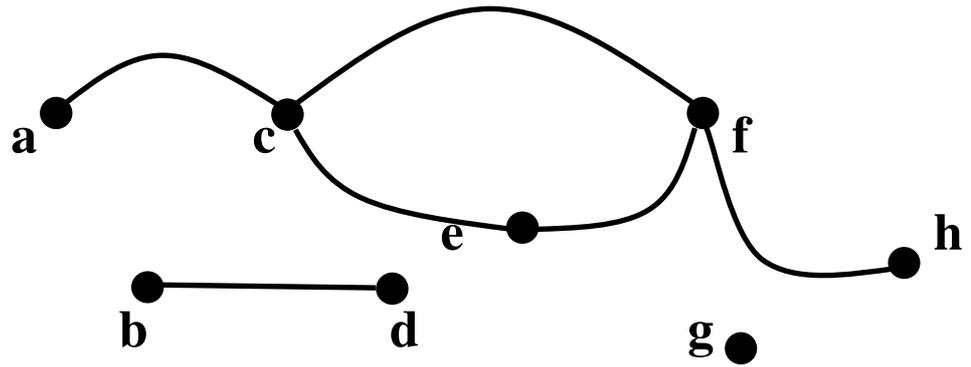


Figure 5: An example of a simple graph.

Regular graph:

If in a graph or in a multigraph each vertex has the same degree, this graph is said to be *regular*.

Example:

The graph in **Figure 1:** is not regular.

Symmetric or antisymmetric graph:

A graph is *symmetric* if for every pair of vertices x and y , there are as many arcs of the form (x, y) as there are arcs of the form (y, x) .

A directed graph is *antisymmetric* if for every arc of the form (x, y) , there is no other arc of the form (x, y) or of the form (y, x) .

Example:

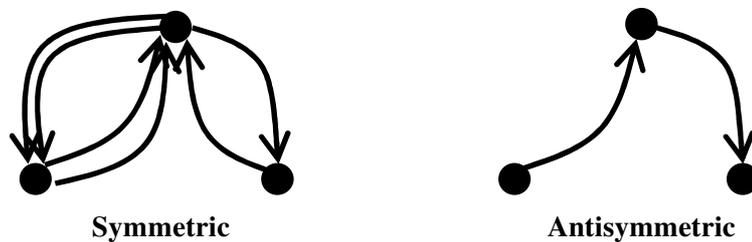


Figure 6: Symmetric and antisymmetric graphs.

Complete Graph, Clique:

A graph is said to be *complete* if, for every pair of distinct vertices x and y , there is at least one arc of the form (x, y) or (y, x) . Similarly, a multigraph is said to be *complete* if every pair of distinct vertices is connected by an edge.

A *simple complete* graph of order n is called an n -clique.

Notation:

An n -clique is denoted as K_n .

Example:

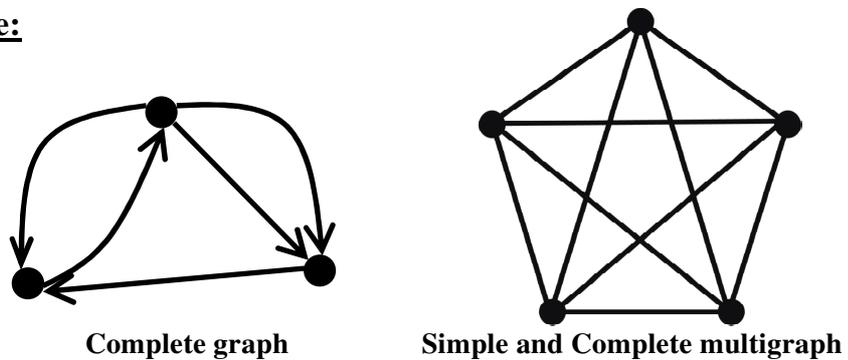


Figure 7: Complete graph and the 5-clique K_5 .

Bipartite graph, Complete bipartite graph:

A graph or a multigraph is *bipartite* if its vertex set can be partitioned into two classes X_1 and X_2 such that two vertices in the same class are never neighbors.

Notation:

A bipartite graph can be denoted as $G = (X_1, X_2, U)$.

A simple complete bipartite graph with classes $|X_1| = p$ and $|X_2| = q$ is denoted as $K_{p,q}$.

Example:

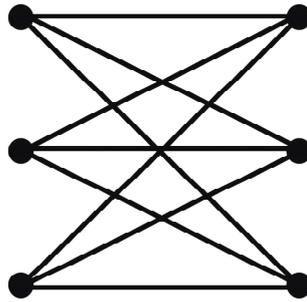


Figure 8: Simple complete bipartite graph $K_{3,3}$.

Stable set:

In a graph or a multigraph, a set S of vertices is called a *stable set* if no arc or edge joins two distinct vertices in S .

Example:

In the graph below, the subset $\{a, d, e\}$ is stable.

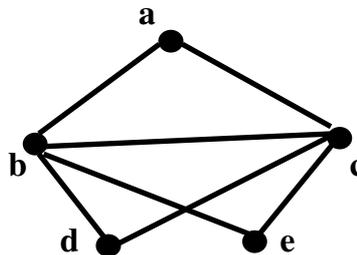


Figure 9: A set stable.

Complement graph:

Given a simple graph or multigraph $G = (X, U)$ of order n , its *complement* graph $G' = (X, U')$ is of the same order n and is defined as follows:

An arc (edge) in U does not belong to U' , and vice versa.

Note that the union $G \cup G'$ forms a clique K_n .

Example:

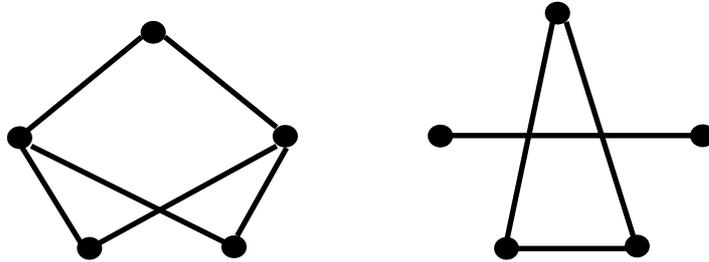


Figure 10:Graph and its complement.

Subgraph of G generated by $A \subset X$:

Let $G = (X, U)$ be a graph or a multigraph, and let $A \subset X$.

The *subgraph* of G generated by A is the graph G_A , whose vertices are the elements of A and whose arcs (edges) are the arcs (edges) of G that have both endpoints in A .

Partial graph of G generated by $V \subset U$:

Let $G = (X, U)$ be a graph or a multigraph, and let $V \subset U$.

The *partial graph* generated by V is the graph $G' = (X, V)$ with the same set X of vertices as G , and whose arcs (edges) are the arcs (edges) of V . Removing from G the arcs (edges) in $U \setminus V$.

Partial subgraph of G:

Let $G = (X, U)$ be a graph or a multigraph.

A *partial subgraph* of G is a subgraph of a partial graph of G or a partial graph of a subgraph of G .

Example:

The graph in **Figure 4**, where $A = \{b, d\}$, is a subgraph of the graph in the **Figure 1**.

The graph in **Figure 11**, where $V = \{8\}$, is a partial graph of the graph in **Figure 4**.

Therefore, the graph in **Figure 11** given below is a partial subgraph of the graph in **Figure 1**.

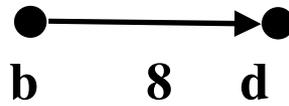


Figure 11: A partial graph of the graph in Figure 4 and a partial subgraph of the graph in Figure 1.

5. Matrices Associated with a Graph:

5.1. Vertex-arc incidence matrix:

Let G be a loopless graph of order n and size m .

The *vertex-arc incidence* matrix of G is a matrix $A = (a_{ij})$ of size $n \times m$, where each row i corresponds to the vertex x_i of G , and each column j corresponds to the arc u_j of G . The matrix A is defined as follows:

- $a_{ij} = +1$ if vertex x_i is the initial endpoint of arc u_j ,
- $a_{ij} = -1$ if vertex x_i is the terminal endpoint of arc u_j ,
- $a_{ij} = 0$ in all other cases.

Note that the number of entries equal to $+1$ (respectively, -1) in row i gives the outer demi-degree $d_G^+(x_i)$ (respectively, the inner demi-degree $d_G^-(x_i)$) of the corresponding vertex x_i .

Example:

The vertex-arc incidence matrix of the graph in **Figure 1**, with the arc **9** (the loop) removed is the following:

A	1	2	3	4	5	6	7	8
a	+1	0	0	0	0	0	0	0
b	0	0	0	0	0	0	0	+1
c	-1	-1	-1	0	0	0	0	0
d	0	0	0	0	0	0	0	-1
e	0	0	+1	+1	0	0	0	0
f	0	+1	0	-1	-1	+1	-1	0
g	0	0	0	0	0	0	0	0
h	0	0	0	0	+1	-1	+1	0

We can observe, for example, that: $\mathbf{d}_G^+(\mathbf{f}) = 2$ and $\mathbf{d}_G^-(\mathbf{f}) = 3$.

5.2. Adjacency matrix or vertex-vertex incidence matrix:

The *adjacency matrix* (also called the *vertex-vertex incidence matrix*) of a graph \mathbf{G} of order \mathbf{n} is a square matrix $\mathbf{A} = (\mathbf{a}_{ij})$ of size $\mathbf{n} \times \mathbf{n}$, where each row and each column corresponds to a vertex of \mathbf{G} . The element \mathbf{a}_{ij} represents the number of arcs from vertex \mathbf{x}_i to vertex \mathbf{x}_j .

Unlike the vertex-arcs incidence matrix, loops can be represented using this matrix.

In this matrix, the sum of the entries in row \mathbf{i} gives the outer demi-degree $\mathbf{d}_G^+(\mathbf{x}_i)$ of the corresponding vertex \mathbf{x}_i , while the sum of the entries in column \mathbf{j} gives the inner demi-degree $\mathbf{d}_G^-(\mathbf{x}_j)$ of the corresponding vertex \mathbf{x}_j .

Example:

The adjacency matrix associated with the graph shown in **Figure 1** is the following:

A	a	b	c	d	e	f	g	h
a	0	0	1	0	0	0	0	0
b	0	0	0	1	0	0	0	0
c	0	0	0	0	0	0	0	0
d	0	0	0	1	0	0	0	0
e	0	0	1	0	0	1	0	0
f	0	0	1	0	0	0	0	1
g	0	0	0	0	0	0	0	0
h	0	0	0	0	0	2	0	0

We can observe, for example, that: $\mathbf{d}_G^+(\mathbf{d})=1$ and $\mathbf{d}_G^-(\mathbf{d})=2$.

5.3. Condensed form of sparse matrices:

It is immediately noticeable that both incidence matrices are *sparse matrices*; many terms are zero. Therefore, it is possible to write each of the matrices in *compressed form*, that is, to "locate" the non-zero terms by their position in the matrix or by using the IFV matrix (Initial Vertex Final Vertex) if the arcs are numbered.

Example:

The compressed form of the vertex-vertex incidence matrix of the graph in **Figure 1** is as follows:

x	y	$\mathbf{m}_G^+(\mathbf{x}, \mathbf{y})$
a	c	1
b	d	1
d	d	1
e	c	1
e	f	1
f	c	1
f	h	1
h	f	2

Since the arcs in **Figure 1** are numbered from 1 to 9, we can write the IFV matrix:

Arc	IV	TV
1	a	c
2	f	c
3	e	c
4	e	f
5	h	f
6	f	h
7	h	f
8	b	d
9	d	d

6. Vocabulary related to connected graph:

6.1. Chain, path, length:

A *chain* is a sequence $\mu = (u_1, u_2, \dots, u_q)$ of adjacent arcs, i.e., each arc in the sequence has one endpoint in common with its predecessor and the other endpoint in common with its successor. The number $q > 0$ of arcs in the sequence is the *length* of the chain.

In 1-graph, a chain is completely determined by the sequence of vertices it passes through. If the chain passes through vertices x_1, x_2, \dots, x_{q+1} , we may write it as: $\mu = (x_1, x_2, \dots, x_{q+1})$. Here, vertex x_1 is called the *initial endpoint* and vertex x_{q+1} is the *terminal endpoint* of chain μ .

A chain that does not visit any vertex more than once is called *elementary*.

A chain that does not use the same edge twice is called *simple*.

A *path* of length $q > 0$ is a chain $\mu = (u_1, u_2, \dots, u_q)$ in which the terminal vertex of arc u_i is the initial vertex of arc u_{i+1} for all $i < q$.

Example:

In the graph below:

The sequence (1,4,5,6) is a simple and elementary chain of length 3.

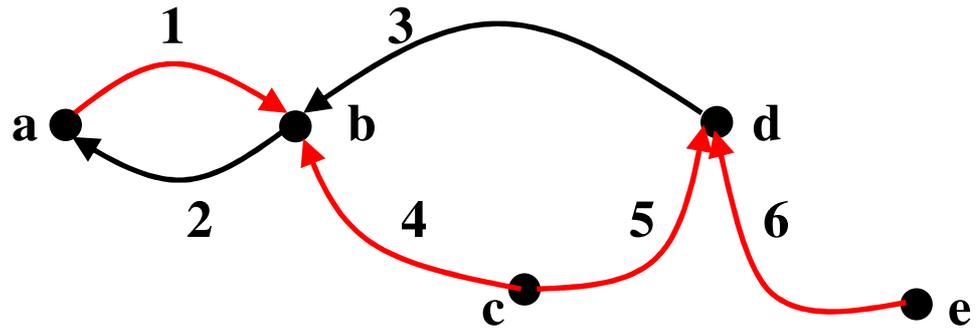


Figure 12: An example of a chain.

In the following graph: the sequence (5,3,2,1) is a simple path of length 3, but it is not elementary, since it may revisit the vertex b.

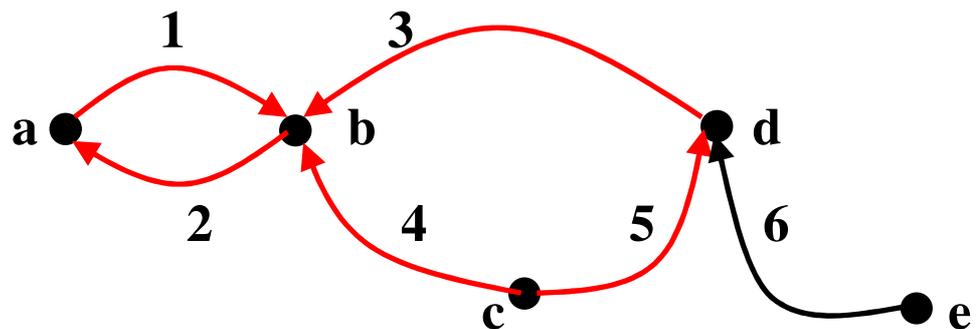


Figure 13: An example of a path.

6.2. Connected graph:

A graph is said to be *connected* if, for every pair of distinct vertices x and y , there exists a chain that links x to y .

A *connected component* of a graph is a maximal subset of vertices such that every pair of distinct vertices in the subset is connected by a chain, and no vertex outside the subset is connected by a chain to any vertex within it.

A graph is said to be *strongly connected* if, for every pair of distinct vertices x and y , there exists a path from x to y and another path from y to x .

A *strongly connected component* of a graph is a maximal subset of vertices in which every pair of distinct vertices x and y of the subset satisfies the condition that there is a path from x to y and a path from y to x . Moreover, for any vertex z outside this subset, there is no path from z to any vertex x in the subset, or no path from x to z .

Example:

The graph below is not connected and has three connected components: $\{a, c, e, f, h\}$, $\{b, d\}$ and $\{g\}$.

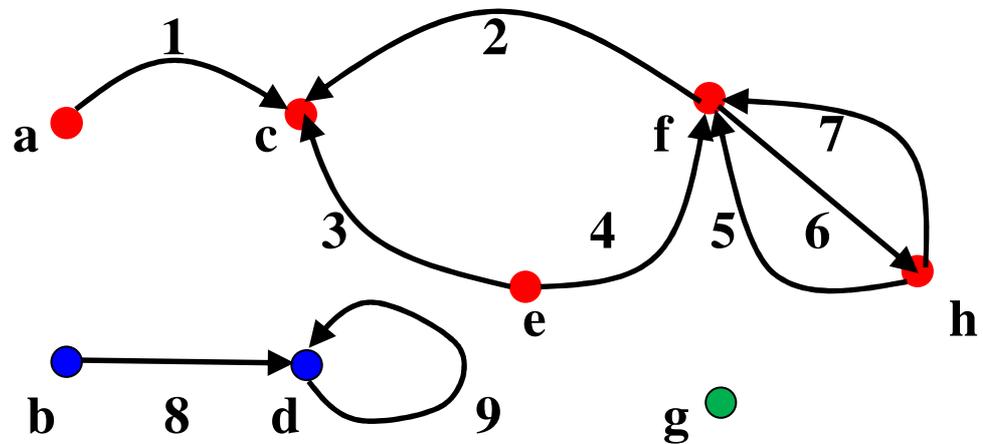


Figure 14: Connected components.

The graph below is strongly connected.

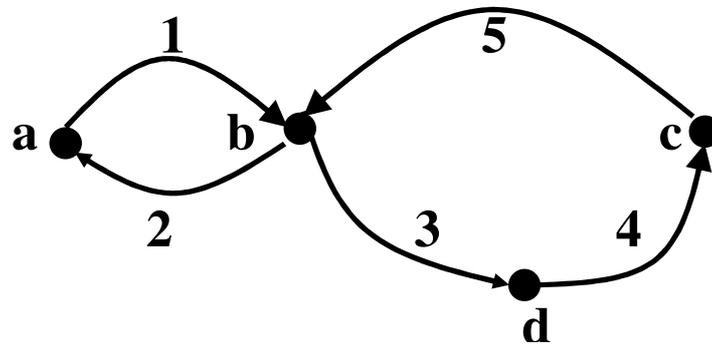


Figure 15: Strongly connected graph.

6.3. Cycle and circuit:

A *cycle* is a simple chain whose two endpoints coincide. A loop is a cycle of length 1.

A *circuit* is a cycle $\mu = (\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q)$ such that for all $i < q$ the terminal vertex of arc \mathbf{u}_i is the initial vertex of arc \mathbf{u}_{i+1} .

Notation:

We denote by μ^+ the set of arcs of the cycle oriented in the traversal direction and by μ^- the set of the other arcs of the cycle.

If the arcs are numbered $1, 2, \dots, m$, every cycle can be associated with a

vector $\vec{\mu} = (\mu_1, \mu_2, \dots, \mu_m)$ in \mathbb{R}^m , with:

$$\mu_i = \begin{cases} +1 & \text{if } i \in \mu^+ \\ -1 & \text{if } i \in \mu^- \\ 0 & \text{if } i \notin \mu^+ \cup \mu^- \end{cases} .$$

Example:

In the graph below: $(3,4,5)$ is a cycle of length 3. The associated vector is

$$\vec{\mu} = (0, 0, 1, -1, 0).$$

$(1,2)$ is a circuit of length 2. The associated vector is $\vec{\mu} = (1,1,0,0,0,0)$.

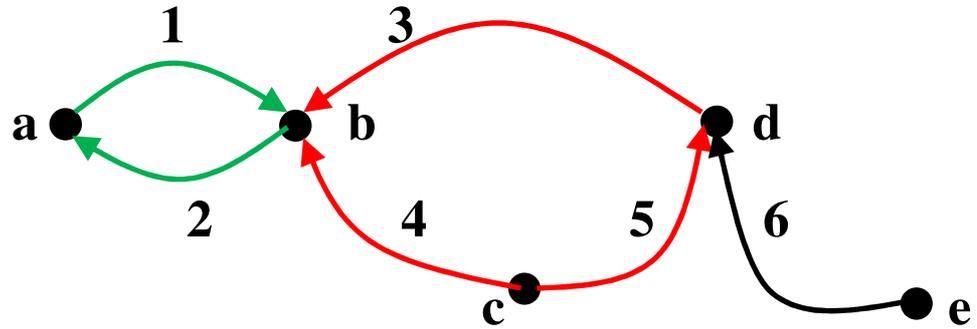


Figure 16: An example of a cycle and a circuit

6.4. Cocycle and Cocircuit:

Let $G = (X, U)$ a graph and $A \subset X$. The set of arcs incident to the set A , be denoted as $\omega_G(A) = \omega_G^+(A) \cup \omega_G^-(A)$ (see § 4.2, incident arc).

A *cocycle* is a non-empty set of arcs of the form $\omega_G(A)$, partitioned into two classes $\omega_G^+(A)$ and $\omega_G^-(A)$.

A **cocircuit** is a cocycle, either $\omega_G(A) = \omega_G^+(A)$ or $\omega_G(A) = \omega_G^-(A)$, in which all arcs are oriented in the same direction, i.e. into set A , or out of set A .

Notation:

If the arcs are numbered $1, 2, \dots, m$, every cocycle can be associated with a

vector $\vec{\omega} = (\omega_1, \omega_2, \dots, \omega_m)$ in \mathbb{R}^m , with:

$$\omega_i = \begin{cases} +1 & \text{if } i \in \omega_G^+(A) \\ -1 & \text{if } i \in \omega_G^-(A) \\ 0 & \text{if } i \notin \omega_G(A) \end{cases}$$

Example:

In the following graph $\{2\} \cup \{1,6\}$ is a cocycle with $A = \{b, c, d\}$.

The associated vector is $\vec{\omega} = (-1, 1, 0, 0, 0, -1)$.

$\{6\}$ is a cocircuit with $A = \{a, b, c, d\}$. The associated vector is $\vec{\omega} = (0, 0, 0, 0, 0, -1)$.

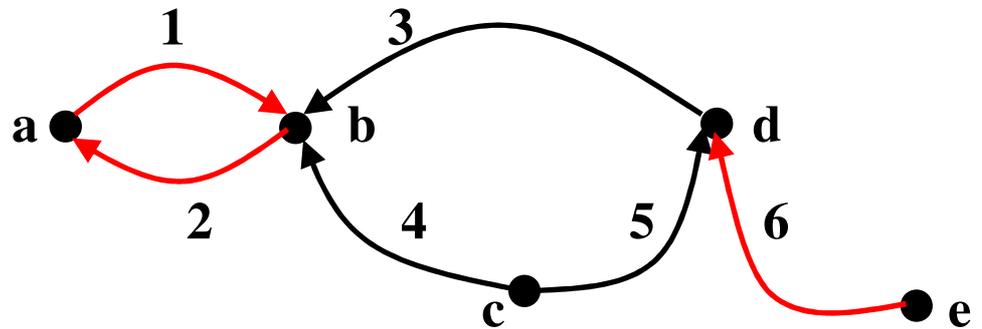


Figure 17: An example of a cycle and a circuit

An *elementary cocycle* is composed of the set of arcs joining two connected subgraphs A_1 and A_2 , such that:

$$\begin{cases} A_1 \neq \emptyset, A_2 \neq \emptyset \\ A_1 \cap A_2 = \emptyset \\ A_1 \cup A_2 = C \end{cases} .$$

where C is a connected component.

Example:

In the graph below: $\{3,5\}$ is an elementary cocycle with $A_1 = \{a, b, c\}$ and $A_2 = \{d, e\}$.

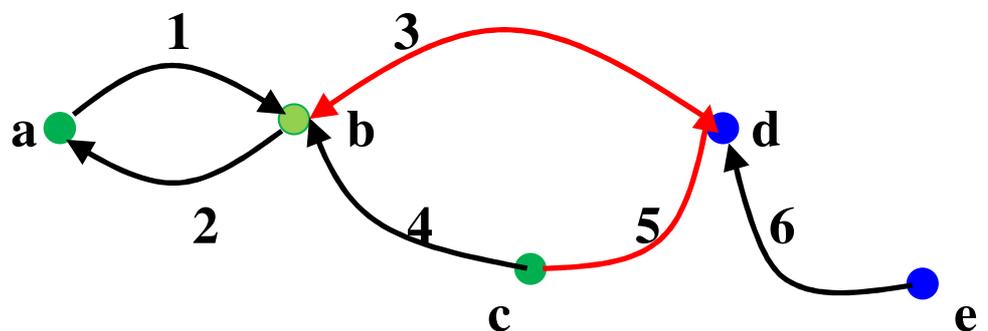


Figure 18: An example of an elementary cocycle.