# Self-Supervised Learning

Main slides from :Megan Leszczynski

## Lecture Plan

- 1. What is self-supervised learning?
- 2. Examples of self-supervision in NLP•Word embeddings (e.g., word2vec)
  - Language models (e.g., GPT)Masked language models (e.g., BERT)
- 3. Open challenges
  - •Demoting bias •Capturing factual knowledge •Learning symbolic reasoning

# Supervised pretraining on large labeled, datasets has led to successful transfer learning





[Deng et al., 2009]

ImageNet • Pretrain for fine-grained image

classification over 1000 classes

•Use feature representations for downstream tasks, e.g.object detection, image segmentation, and action recognition

## But supervised pretraining comes at a cost...

Time-consuming and expensive to label datasets for new tasks
ImageNet: 3 years, 49k Amazon MechanicalTurkers[1]

- Domain expertise needed for specialized tasks
  - Radiologists to label medical images
  - Native speakers or language specialists for labeling text in different languages



# Can self-supervised learning help?

•Self-supervised learning (informal definition): supervise using labels *generated* 

from the data without any manual or weak label sources

- •Idea: Hide or modify part of the input. Ask model to recover input or classify what changed.
  - •Self-supervised task referred to as the pretext task



## Pretext Task: Classify the Rotation







#### 270° rotation

#### 90° rotation

Identifying the object helps solve rotation task!

**Catfish species that swims** upside down...

## Pretext Task: Classify the Rotation



Learning rotation improves results on object classification, object segmentation, and object detection tasks.

[Gidaris et al., ICLR 2018] 8

### Pretext Task: Identify the Augmented Pairs

**Contrastive self-supervised** 

**learning** with SimCLRachieves state-of-the-art on ImageNet for a **limited amount of labeled data.** 

> 85.8% top-5 accuracy on 1% of Imagenetlabels.

[Chen et al., ICML 2020] GIF from Google AI blog

# Benefits of Self-Supervised Learning

ü Like supervised pretraining, can learn general-purpose feature representations for downstream tasks

ü Reduces expense of hand-labeling large datasets

ü Can leverage nearly unlimited (unlabeled) data available on the web



995 photos uploaded every second



6000 tweets sent every second



500 hours of video uploaded *every minute* 

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# Examples of Self-Supervision in NLP

#### •Word embeddings

•Pretrained word representations •Initializes *1st layer* of downstream models

#### Language models

- Unidirectional, pretrained language representations
- •Initializes fulldownstream model

#### Masked language models

- *Bidirectional*, pretrained language representations
- •Initializes *full*downstream model







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## Word Embeddings

- Goal: represent words as vectors for input into neural networks.
- One-hot vectors? (single 1, rest 0s)
   pizza = [0 0 0 0 0 1 0 ... 0 0 0 0 0]
   pie = [0 0 0 0 0 0 0 ... 0 0 0 1 0]

Millions of words high-dimensional, sparse vectors
No notion of word similarity

•Instead: we want a **dense, low-dimensional** vector for each word such that words with similar meanings have similar vectors.

## **Distributional Semantics**

•Idea: define a word by the words that frequently occur nearby in a corpus of text

- "You shall know a word by the company it keeps" (J. R. Firth 1957: 11)
- •Example: defining "pizza"
  - •What words frequently occur in the context of pizza?

13% of the United States population eats **pizza**on any given day. Mozzarellais commonly used on **pizza**, with the highest quality mozzarella from Naples. In Italy, **pizza**served in formal settings is eater with a fork and knife.

•Can we use distributional semantics to develop a pretext task for self-supervision?

## Pretext Task: Predict the Center Word

• Move context window across text data and use words in window to predict the

center word.

•No hand-labeled data is used!



## Pretext Task: Predict the Context Words

• Move context window across text data and use words in window to predict the

*context*words, given the center word.No hand-labeled data is used!



- Tool to produce word embeddings using self-supervision by Mikolovet al.
- Supports training word embeddings using 2 architectures:
  - •Continuous bag-of-words (CBOW): predict the center word
  - •Skip-gram: predict the context words
- •Steps:

1.Start with randomly initialized word embeddings. 2.Move sliding window across *unlabeled* text data. 3.Compute probabilities of center/context words, given the words in the window. 4.Iteratively update word embeddings via stochastic gradient descent .

• Loss function (skip-gram): For a corpus with !words, minimize the negative log likelihood of the context word given the center word



- •Use two word embedding matrices (embedding dimension , vocab size ):
  - Center word embeddings
     ontext word embeddings

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• Example: using theskip-gram method (predict context words), compute the probability of "knife" given the center word "fork".



 Mikolovet al. released word2vec embeddings pretrained on 100 2
 billion wordGoogle News dataset

•Embeddings exhibited meaningful properties despite being trained with **no hand-labeled data**.



[Mikolov et al., 2013] <sup>21</sup>

•Vector arithmetic can be used to evaluate word embeddings on analogies



•Analogies have become a common **intrinsic task** to evaluate the properties learned by word embeddings

• Pretrained word2vec embeddings can be used to initialize the first layer of downstream models

- Improved performance on many downstream NLP tasks, including sentence classification, machine translation, and sequence tagging
  - Most useful when downstream data is limited
- Still being used in applications in industry today!



[Kim et al., 2014][Qi et al., 2018][Lample et al., 2016] 23

# Examples of Self-Supervision in NLP

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# Why weren't word embeddings enough?

from

- Lack of contextual information
  - Each word has a **single vector** to capture the multiple meanings of a word
  - Don't capture word use (e.g.syntax)
- Most of the downstream model still needs training
- •What self-supervised tasks can we use to pretrain full models for contextual understanding?
  - •Language modeling....?



[Peters et al., 2018] [Slides Reference: John Hewitt, CS224N]

# What is language modeling?

• Language modeling (informal definition): predict the **next word** in a sequence of text



•Given a sequence of words , compute the **probability distribution of** 

• The probability of thesequenceis given! by: ..., "%)

"|

() (|  
- "%,...,"() = 
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# The many uses of language models (LMs)

•LMs are used for many tasks involving generating or evaluating the probability of

text:

•

- Autocompletion
- Summarization
- Dialogue
- Machine translation
- •Spelling and grammar checkers
- •Fluency evaluation

Hello frends,										
I am <u>gong</u> to the store										
S A	a A <sup>≎</sup>	В	I	U	R	<u>A</u>	୍ଦ	€ <mark>x</mark>	:	1228
Send	$ $ $\vee$	Disca	rd	0 ~	<ul> <li>A transfer of the second second</li></ul>	<u></u>	æ	Ą		

•Today, LMs are also used to generate **pretrained language representations** that encode some notion of **contextual understanding** for downstream NLP tasks

## Why is language modeling a good pretext task?



# Why is language modeling a good pretext task?

üCaptures aspects of language useful for downstream tasks, including long-term dependencies, syntactic structure, and sentiment

ü Lots of available data (especially in high-resource languages, e.g.English)

ü Already a key component of many downstream tasks (e.g.machine translation)

# Using language modeling for pretraining

- 1.Pretrain on language modeling (pretext task)
- •Self-supervised learning
- •Large, unlabeled datasets

Copy weights!

- 2.Finetune on downstream task (e.g.sentiment analysis)
- Supervised learning for finetuning
- •Small, hand-labeled datasets



- Introduced by Radford et al. in 2018 as a "universal" pretrained language representation
  - Pretrained with language modeling
- •Uses the Transformer model [Vaswani et al., 2017]
  - •Better handles long-term dependencies than alternatives (i.e.recurrent neural networks like LSTMs) and more efficient on current hardware
- Has since had follow-on work with GPT-2 and GPT-3 resulting in even larger pretrained models



# Quick Aside: Basics of Transformers

Model architecture that has

recently replaced recurrent neural networks (e.g.LSTMS) as the building block in many NLP pipelines

•Uses **self-attention**to pay

attention to relevant words in the sequence ("Attention is all you need")

•Can attend to words that are far away



[Alammaret al., Illustrated Transformer]

Check out the CS224N Transformer Lectureand this blog for more details!

[Vaswani et al., 2017] 32

# Quick Aside: Basics of Transformers

•Composed of two modules:

- •Encoder to learn representations of the input
- •Decoder to generate output conditioned on the encoder output and the previous decoder output (autoregressive)
- Each block contains a selfattention and feedforward layer



Check out the CS224N Transformer Lectureand this blog for more details!

[Vaswani et al., 2017] 33

• Pretrain the **Transformer decoder model** on the language modeling task:



[Radford et al, 2018] <sup>34</sup>

•Finetune the pretrained Transformer model with a randomly initialized linear

layer for **supervised downstream tasks**:



 Linear layer makes up most of the newparameters needed for downstream tasks, rest are initialized from pretraining!

[Radford et al, 2018] 35

- Pretrained on the BooksCorpus(7000 unique books) Achieved state-of-the-art
- on downstream question answering tasks (as well as natural language inference, semantic similarity, and text classification tasks)

	select the correct end to the story	middle and high school exam read comprehension questions			
Method	Story Cloze	RACE-m	RACE-h	RACE	
val-LS-skip [55] Hidden Coherence Model [7]	76.5 <u>77.6</u>	-	-	-	
Dynamic Fusion Net [67] (9x) BiAttention MRU [59] (9x)	- -	55.6 <u>60.2</u>	49.4 <u>50.3</u>	51.2 53.3	
Finetuned Transformer LM (ours)	86.5	62.9	57.4	59.0	

Play with code: https://github.com/karpathy/minGPT

[Radford et al, 2018] <sup>36</sup>

# Examples of Self-Supervision in NLP

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## Using context from the future

•Consider predicting the next word for the following example:



• Information from the future can be helpful for language understanding!

# Masked language models (MLMs)

• With bidirectional context, if we aren't careful, model can "cheat" and see next word



•What if we mask out some words and ask the model to predict them?



This is called *masked language modeling*.

• Pretrain the Transformer encoder model on the masked language modeling task:



How do you decide how much to mask?



• For BERT, **15%** of words are randomly chosen to be **predicted**. Of these words:

•80% replaced with [MASK] •10%

replaced with random word •10%

remain the same

This encourages BERT to learn a good representation of *each* word, including non-masked words, as well as transfer better to downstream tasks with no [MASK] tokens.

- Pretrained on BooksCorpus(800M words) and English Wikipedia (2500M words)
- Set state-of-the-art on the General Language Understanding Evaluation (GLUE) benchmark, including beating GPT

• Tasks include sentiment analysis, natural language inference, semantic similarity

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.8	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	87.4	91.3	45.4	80.0	82.3	56.0	75.1
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
BERTLARGE	86.7/85.9	72.1	92.7	94.9	60.5	86.5	89.3	70.1	82.1

 Also set state-of-the-art on the SQUAD 2.0 question answering benchmark by over 5 F1 points!

System	D	ev	Test		
-	EM	F1	EM	F1	
Top Leaderboard Systems	(Dec	10th,	2018)		
Human	86.3	89.0	86.9	89.5	
#1 Single - MIR-MRC (F-Net)	-	-	74.8	78.0	
#2 Single - nlnet	-	-	74.2	77.1	
Publishe	d				
unet (Ensemble)	-	-	71.4	74.9	
SLQA+ (Single)	-		71.4	74.4	
Ours					
BERT <sub>LARGE</sub> (Single)	78.7	81.9	80.0	83.1	

#### Case Study: Building on BERT with self-supervision In addition to MLM, other self-supervised tasks have been used in BERT and its variants:

•Next sentence prediction (BERT): Given two sentences, predict whether the second sentence follows the first or is random (binary classification).

Input: The man went to the store. Penguins are flightless birds. Label:NotNext

• Sentence order prediction (ALBERT): Given two sentences, predict whether they are in the correct order (binary classification).

Input: The man bought some milk. The man went to the store. Label: WrongOrder

44

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## Open Challenges for Self-Supervision in NLP

- Demoting bad biases
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## Open Challenges for Self-Supervision in NLP

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•Recall: word embeddings can capture relationships between words

France is to Paris as Japan is to?



•What can go wrong?

Embeddings can learn (bad) biases present in the training data
Pretrained embeddings can then transfer biases to downstream tasks!

- Bolukbasi et al. found that pretrained word2vec embeddings learned gender stereotypes
  - •Used analogy completion (finding the closest vector by cosine distance)



•Father is to doctor as mother is to ? 
$$^{QLM6}_{;} \approx ^{RLM=M6S=}_{7}$$
  
B B B 7  
?7LP76MM=7 - BM6;

•Generated analogies from the data using the gender offset (i.e.,

# Asked Mechanical Turkersto assess bias ≈ B;N79=

•40% (29/72) of true analogies reflected gender stereotype [Bolukbasi et al.,]

 $B^{9R}$ 

•Using GPT-2 for natural language generation

Prompt	Generated text
The man worked as	a car salesman at the local
	Wal-Mart
The woman worked as	a prostitute under the name of
	Hariya
The Black man	a pimp for 15 years.
worked as	
The White man	a police officer, a judge, a
worked as	prosecutor, a prosecutor, and the
	president of the United States.
The gay person was	his love of dancing, but he also did
known for	drugs
The straight person	his ability to find his own voice and
was known for	to speak clearly.

[Sheng et al., 2019] 51

•Some potential ways to think about addressing bias in self-supervised models:

#### •Should bias be addressed through the dataset?

Idea: build datasets more carefully and require dataset documentation
Size doesn't guarantee diversity [Bender et al., 2021]
GPT-2 trained on Reddit outbound links (8 million webpages)

•67% of U.S. Reddit users are men, 64% between ages 18-29

#### •Should bias be addressed at test time?

Idea: modify the next word probabilities at decoding to reduce the probability of biased prediction
 Biased words

The woman worked as a \_\_\_\_\_.

P(stylist | x) =0.1 P(nurse | x) =0.2  $\rightarrow 0.001$ ⋮ [Schick et al., 2021]

## Open Challenges for Self-Supervision in NLP

- Demoting bad biases
- Capturing factual knowledge
- Learning symbolic reasoning

Query the knowledge in BERT with "cloze" statements:

- iPod Touch is produced by
- London Jazz Festival is located in
- Dani Alves plays with
- Carl III used to communicate in
- Bailey Peninsula is located in



n

ma n

Query the knowledge in BERT with "cloze" statements:

- iPod Touch is produced by A P
- London Jazz Festival is located in Londo
- Dani Alves plays with <u>S</u> a
  - n t
- Carl III used to communicate in <u>Ger</u>

Bailey Peninsula is located in Antarctic



• Takeaway: predictions generally make sense (e.g.the correct types), butare not all

factually correct.

- •Why might this happen?
  - •Unseen facts: some facts may not have occurred in the training corpora at all
  - Rare facts: LM hasn't seen enough examples during training to memorize the fact
    Model sensitivity: LM may have seen the fact during training, but is sensitive to the phrasing of the prompt

ID	Modifications	Acc. Gain
P413	x plays in $\rightarrow$ at y position	+23.2
P495	x was created $\rightarrow$ made in y	+10.8
P495	$x \text{ was} \rightarrow \text{is created in } y$	+10.0
	Liar	ng et al., 2020]

• How can we improve LM recall on factual knowledge? Potential approaches...

•Use an external symbolic memory?



MLM: J.K. Rowling [MASK] published Harry Potter [MASK] 1997. MLM+SalientSpan Masking: [MASK] first published Harry Potter in [MASK].

[Petroni et al., 2019][Guu et al., 2020] 57

## Open Challenges for Self-Supervision in NLP

- Demoting bad biases
- Capturing factual knowledge
- Learning symbolic reasoning

- How much symbolic reasoning can be learned when only training models with language modeling pretext tasks (i.e.BERT)?
- Can a LM...
  - •Compare people's ages?

A 21 year oldperson is [MASK] than me in age, if I am a 35 year oldperson. **A. younger** B. older

#### •Compare object sizes?

The size of a car is [MASK] than the size of a house. A.larger **B.** smaller

#### • Capture negation?

It was [MASK] hot, it was really cold . **A. not** B. really



"Always-Never" task asks model how frequently an event occurs

Cats <u>s\_o\_m\_e\_t\_im\_\_e\_s</u> drink coffee.





•Current language models struggle on the "Always-Never" task.

• Predictions are bolded.

Question	Answer	Distractor	Acc.
A dish with pasta [MASK] contains pork.	sometimes	sometimes	75
stool is [MASK] placed in the box.	never	sometimes	68
A lizard [MASK] has a wing .	never	always	61
A pig is [MASK] smaller than a <u>cat</u> .	rarely	always	47
meat is [MASK] part of a elephant's diet.	never	sometimes	41
A <u>calf</u> is [MASK] larger than a <u>dog</u> .	sometimes	often	30

•On half of the symbolic reasoning tasks, current language models fail.

	RoBERTa	BERT	BERT	RoBERTa	BERT
	Large	WWM	Large	Base	Base
ALWAYS-NEVER					
AGE COMPARISON	$\sim$			<b>⋌</b>	
OBJECTS COMPAR.	$\checkmark$	×			
ANTONYM NEG.	$\checkmark$		<b>⋌</b>	·	
PROPERTY CONJ.	×	<u></u> ∡			
TAXONOMY CONJ.	$\checkmark$	×		×	
ENCYC. COMP.					
MULTI-HOP COMP.					

Table 12: The oLMpic games medals', summarizing per-task success.  $\checkmark$  indicate the LM has achieved high accuracy considering controls and baselines,  $\checkmark$  indicates partial success.

[Talmor et al., 2019] 62

• "When current LMs succeed in a reasoning task, they do not do so through

abstraction and composition as humans perceive it" – Talmor et al.

•Example failure case:

- RoBERTAcan compare ages *only* if they are in the expected range (15-105).
- •This suggests performance is **context-dependent**(based on what the model has seen)!
- How can we design pretext tasks for self-supervision that encourage symbolic reasoning?

# Summary

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## Parting Remarks

Related courses

- •CS324: Developing and Understanding Massive Language Models (Winter 2022) with Chris Réand Percy Liang (New course!)
- •CS224N: Natural Language Processing with Deep Learning with Chris Manning

#### Resources

•CS224N lectures • https://project.inria.fr/paiss/files/2018/07/zissermanself-supervised.pdf • https://github.com/jason718/awesome-selfsupervised-learning • https://amitness.com/2020/05/self-supervisedlearning-nlp/ • http://jalammar.github.io/illustrated-transformer/